



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yoshinori TAKEUCHI et al.

Serial No.: 09/963,588

Group Art Unit: 2874

Filed: September 27, 2001

For: SINGLE-ELEMENT OPTICAL WAVELENGTH BANDPASS FILTER FORMED IN OPTICAL FIBER OR OPTICAL WAVEGUIDE AND METHOD OF MANUFACTURE THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

RECEIVED
MAY 21 2003
TC 2800 MAIL ROOM

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of April 4, 2003, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

BEST AVAILABLE COPY

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

April 30, 2003

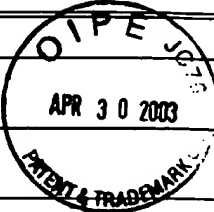
Date

RWP/dlb

Attorney Docket No.: PADE:063

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(Handwritten signature: Roger W. Parkhurst)
601 Roger W. Parkhurst
Registration No. 25,177

Sheet <u>1</u> of <u>1</u> FORM PTO 1449 (modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i>		ATTY DOCKET NO. PADE:063		SERIAL NO. 09/963,588			
				APPLICANT Yoshinori TAKEUCHI et al.		FILING DATE September 27, 2001		GROUP 2874	
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
	6,081,640	06/27/2000	OUELLETTE et al.	385	37				
	5,887,094	03/23/1999	BAKHTI et al.	385	28				
FOREIGN PATENT DOCUMENTS									
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO YES			
	EP 0 893 712 A2	01/27/1999	EUROPE						X
	EP 1 024 391 A1	08/02/2000	EUROPE						X
	EP 0 997 764 A1	05/03/2000	EUROPE						X
	EP 0 933 662 A1	08/04/1999	EUROPE						X
	WO 99/67668	12/29/1999	PCT						X
	9-311238	12/02/1997	JAPAN						Abstract
		</							